



**Dhampur Sugar Mills Limited**

241, Okhla Industrial Estate, Phase- III

New Delhi – 110 020, India

Tel: +91-11-3065 9400, 4161 2456

Tele Fax +91-11-2693 5697

E - Mail : corporateoffice@dhampur.com

Website: www.dhampur.com

**02.05.2020**

**To,  
Asst. Vice President  
National Stock Exchange of India Ltd.  
Exchange plaza, Bandra Kurla Complex  
Bandra (E)  
Mumbai - 400 051**

**Asst. General Manager  
Dept of Corp. Services,  
BSE Limited  
P.J. Towers, Dalal Street, Fort,  
Mumbai: 400001.**

Dear Sir/Madam

**Ref : Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Please find enclosed herewith Certificate as required under regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2020.

You are requested to kindly take the information on record.

Thanking you,  
Yours faithfully,  
For **DHAMPUR SUGAR MILLS LIMITED**

**Sd/-  
Aparna Goel  
Company Secretary  
M.No : 22787**



**GSK & Associates**  
Company Secretaries

Off:- 14 Ratan Mahal, 15/197, Civil Lines,  
Kanpur-208001  
Y-65, Ground Floor, Hauz Khas,  
New Delhi-110016

EMail : [cssaket.associates@gmail.com](mailto:cssaket.associates@gmail.com)

Mob :- +919935388977

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED MARCH 31, 2020**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents of Dhampur Sugar Mills Limited, having registered office at Dhampur, Distt Bijnore (UP) maintained by M/s Alankit Assignments Limited (Share Transfer Agent of Dhampur Sugar Mills Limited), having office at Jhandewalan Extn. New Delhi pertaining to transfer of equity shares of the company from October 1, 2019 to March 31, 2020 for the purpose of issuing Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on the information provided by the Share Transfer Agent, we hereby certify that the Share Transfer Agent has delivered during half year ended on March 31, 2020:

- A) Share Certificate(s) relating to the transfer of shares received during the period from October 1, 2019 to March 31, 2020 as entered in the Memorandum of Transfers, have been issued within stipulated time for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) During the period, duplicate share certificates were issued in 9 (Nine) cases containing 808 shares within stipulated time.
- C) During the period, no consolidation of share certificates took place.
- D) During the period, no case for sub-division, renewal, exchange of certificates and endorsement for payment of call / allotment money.
- E) No other information

**For GSK & Associates**  
**Company Secretaries**  
**FRN: P2014UP036000**

**Saket Sharma**  
**(Partner)**  
**C.P. No: 2565**  
**M. No: F4229**  
**Date: 02.05.2020**  
**Place: Kanpur**  
**UDIN: F004229B000195354**